

## PERSONAL INFORMATION FORM

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The particulars in this form that relate to an individual is personal information in terms of the Privacy Act 1993. The information is required so that the Gambling Commission can assess the suitability of a person to have significant influence in the operation of a casino for the purposes of determining whether to grant a renewal of a casino venue licence pursuant to section 137 of the Gambling Act 2003.

### A. Identity

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#### A1. Your details:

Title:

First names:

Last name:

*All other names by which you are currently, or have previously been known:*

(1)

(2)

Last name:

Last name:

First names:

First names:

Title:

Title:

Preferred name:

Fax ( )

Occupation:

Mobile ( )

Daytime phone ( )

Email:

Evening phone ( )

Web address:

Date of Birth:

Residential Address:

Postal Address:

Driver licence No:

*Please enclose a copy of a current driver licence with this application.*

#### A2. Contact address (if different to postal address):

Address:

Postcode:

Suburb:

Country:

City:

#### A3. This form is provided in support of the following application for renewal of a casino venue licence:

Licence renewal applicant:

#### A4. Describe (below or on a separate sheet) the role that you play in the operation of the casino referred to in question A3.

**B. Citizenship and passports**

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B1. Place of birth:

Town/city:

Country:

*Please enclose with this application copies of all passports that you currently hold.***C. Solicitors**

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C1. Name of solicitor/legal advisor/lawyer:

C2. Solicitor address for communication:

Address

Suburb

Town/city

Postcode/zip

Country

Telephone ( )

Email

**D. Other roles**

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D1. Provide details of any company directorships held, currently or during the last 5 years.

D2. Provide details (below or on a separate sheet) of any trust that you are associated with either as a trustee or as a beneficiary:

*Please enclose, if available to you, the latest balance sheet for each trust noted with this application.***E. Litigation**

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E1. Are there any legal or arbitration proceedings active, threatened or pending against you? If so, provide details (below or on a separate sheet).

E2. Has a judgment been entered against you, or any company that you were a director/manager of at the relevant time, in respect of any civil proceedings? If so, provide details (below or on a separate sheet).

**F. Regulatory and disciplinary action**

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F1. Have you, or any company that you were a director/manager of at the relevant time, been found guilty of any offence, including but not limited to criminal, taxation or gaming offences (irrespective of whether a conviction was subsequently recorded)?

If so, provide details (below or on a separate sheet), including the offence, the date of the offence, the relevant court or other authority, and any sanction imposed.

F2. Have you ever made an application under the Gambling Act, including under s 149, that has been declined? If so, provide details (below or on a separate sheet).

F3. Other than discussed in F2, have you, or any company that you were a director/manager of at the relevant time, ever had any licence, certificate, authority or approval of any kind terminated, denied or suspended? If so, provide details (below or on a separate sheet).

- F4. Have you been prevented from acting as a director? If so, provide details (below or on a separate sheet).
- F5. Have you, or any company that you were a director/manager of at the relevant time, ever been the subject of an adverse finding by a professional body, irrespective of whether any penalty was imposed? If so, provide details (below or on a separate sheet).
- F6. To the best of your knowledge are you currently being, or have you ever been, investigated by any governmental or statutory body or authority (for example the New Zealand Serious Fraud Office, the Commerce Commission or the Securities Commission of New Zealand)? If so, provide details (below or on a separate sheet).

## **G. Financial position**

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- G1. Provide details (below or on a separate sheet) of all assets held by you personally or jointly with others (separately identifying any assets held in trust):

Furniture and effects:	Life cover:
Superannuation:	Vehicles:
Bank deposits:	Shares:
Investments:	Other:
Real estate:	<b>Total assets:</b>

- G2. Provide (below or on a separate sheet) a breakdown of your net annual income:

Salary / wages:	Interest / dividends:
Business income:	Overseas income:
Rental income:	Other income, including superannuation/ insurance:
Commission / overtime:	<b>Total net income:</b>

- G3. Provide (below or on a separate sheet) details of all liabilities held by you personally or jointly with others:

Bank overdrafts:	Store cards:
Limit	Limit
Amount owing	Amount owing
Credit cards:	Hire purchase:
Limit	Value
Amount owing	
Bank loans:	
Limit	
Amount owing	
Term	
Rate	
Maturity	
Other liabilities:	

Total liabilities:

- G4. Provide details (below or on a separate sheet) of any contingent liabilities, including any personal guarantees:
- G5. Provide details (below or on a separate sheet) of any security or charge currently attached to any of the assets listed under G1 above:
- G6. Has your salary, wage, earnings or other income ever been the subject of a garnishee order, attachment or the like? If so, provide details (below or on a separate sheet).
- G7. Have you ever had an article repossessed, for example by a finance company? If so, provide details (below or on a separate sheet).
- G8. Are you up to date with your tax lodgements?

*Please enclose a copy of your most recent tax return and assessment notice with this application.*

Have you been subjected to any tax audits, tax investigations, or prosecutions or been required to undertake any remedial action regarding your tax liability?

- G9. Have you ever been declared bankrupt or subject to an arrangement under the Insolvency Act 2006 (or any predecessor)? If so, provide full details (below or on a separate sheet).
- G10. Are you, or have you been, directly or indirectly involved in the management of a company that went into receivership or liquidation? If so, provide details (below or on a separate sheet).

## **H. Qualifications**

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- H1. Set out (below or on a separate sheet) the matters of qualification or experience that you consider demonstrate your suitability to have significant influence in the operation of a casino.

## **I. Compliance**

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- I1. Has any action of any kind been taken by a gambling regulator against you, or any company that you were director/manager of at the relevant time, in respect of non-compliance with the Gambling Act or any regulation made under the Act? If so, provide details (below or on a separate sheet) of each instance.

## **J. Referees:**

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*Please ensure that at least one referee can be contacted during the investigation process.*

- J1. Give the name of one character referee:

Surname	Mobile
First name	Fax
Telephone	Email

- J2. Give the names of two business referees. If you are currently employed this may include your current employer:

Surname	Mobile
First name	Email
Telephone	

Surname	Mobile
First name	Email
Telephone	

**K. Other Matters**

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- K1. Provide details (below or on a separate sheet) of any other matters that you consider relevant to this application.

**Checklist of documents to enclose:**

1. Copy of a current driver licence
2. Copies of all passports currently held
3. Balance sheet of any trust that you are associated with
4. Copy of your most recent tax return and assessment notice.
5. The answers to any question provided on a separate sheet.