

**APPLICATION TO TRANSFER OR ALIENATE AS A RESULT OF A MORTGAGE,  
CHARGE OR ENCUMBRANCE UNDER SECTION 126(3) OF THE GAMBLING ACT 2003**

1. Applicant's Name:
2. Postal Address:
3. Contact Phone Number:
4. Facsimile Number:
5. Email Address:
6. Description of the Casino Licence on which the mortgage, charge or encumbrance is placed:
7. Date on which the Casino Control Authority or Gambling Commission approved the placement of the mortgage, charge or encumbrance:
8. Details of the proposed transferee or alienee of the Casino Licence, including contact details, if different from above:
9. Please list below the names of any persons who have a significant influence in the casino and provide an explanation of the basis for that significant influence:  
(The definition of "significant influence" is set out in section 7 of the Gambling Act 2003. Section 7 is printed at the end of this application form.)
10. Please attach to this application:
  - (a) the original and 6 copies of a completed Applicant Information Form; and
  - (b) the original and 6 copies of completed Personal Information Forms or Company Information Forms for each person named at 9 above.

Date: \_\_\_\_\_

Signature: \_\_\_\_\_

Name of Signatory: \_\_\_\_\_

Designation of Signatory: \_\_\_\_\_

7. Meaning of significant influence in casino—

(1) A person has "a significant influence in a casino" if the person—

(a) is or will be a director of the holder of, or applicant for, or proposed transferee or alienee of, a casino licence for the casino; or

(b) is or will be employed or engaged by the holder of, or applicant for, or proposed transferee or alienee of, a casino licence for the casino as the chief executive or a senior manager of the casino; or

(c) owns or will own shares, directly or indirectly, in the holder of, or applicant for, or proposed transferee or alienee of, a casino licence for the casino that confer control of 20% or more of the voting rights of share holders of any class in the holder, applicant, or proposed transferee or alienee.

(2) A significant influence in a casino includes any influence that the Secretary or the Gambling Commission (as the case may be) considers to be a significant interest in the management, ownership, or operation of a casino, however acquired or to be acquired.

(3) Subsection (1) does not limit what the Secretary or the Gambling Commission, as the case may be, considers to be a significant influence under subsection (2).

(4) In subsection (1)(a), the term “director” includes a person described in section 126(1) of the Companies Act 1993.